



## **SCENARIO N°3**

### **EUROPE-LATIN AMERICA AND THE CARIBBEAN INSTITUTIONAL BODY FOR HEALTH RESEARCH (EULAC IBHeRe)**

**Joaquín Guinea  
INNOVATEC**

# GENERAL INFORMATION



## OBJECTIVES

The **Europe-Latin America and the Caribbean Institutional Body for Health Research (EULAC IBHeRe)** will have as a main objective to facilitate researchers' collaboration, networking, human capacity building and joint use of research infrastructures, for common interest health research areas between both regions.

It will be accomplished by the coordination and integration of existing EU and LAC bilateral and regional health research programmes towards a **Joint Health Research Programme (JHRP)** between the two regions:

- Participation in common research and human capacity building programmes implemented by the **Joint Health Research Programme (JHRP)**.
- Participation in research infrastructures created by the implementation of the **JCHRP**.
- Cooperation with other intergovernmental programmes (Horizon2020, CYTED, EUREKA, etc.).

## PROMOTERS /PARTICIPANTS

The **Promoters** (*before the establishment of the body*)/ **Participants** (*after the body is operative*) of this initiative will be the EU and the LAC countries represented by Ministries, Funding Agencies, etc., together with representatives of supranational funding institutions (EC, etc.).

## TYPICAL ACTIVITIES

The main activity will be related to Joint Calls with a broad variety of different grant types:

- **Collaborative Research Projects.**
- **Coordination and Support Actions.**
- **Researcher Mobility Grants, Senior Fellowships, etc.**
- **Infrastructure specific grants.**
- **SMEs specific grants, etc.**

# GOVERNANCE



## LEGAL STRUCTURE

The establishment of a dedicated implementation structure (the **EULAC IBHeRe**) will be required.

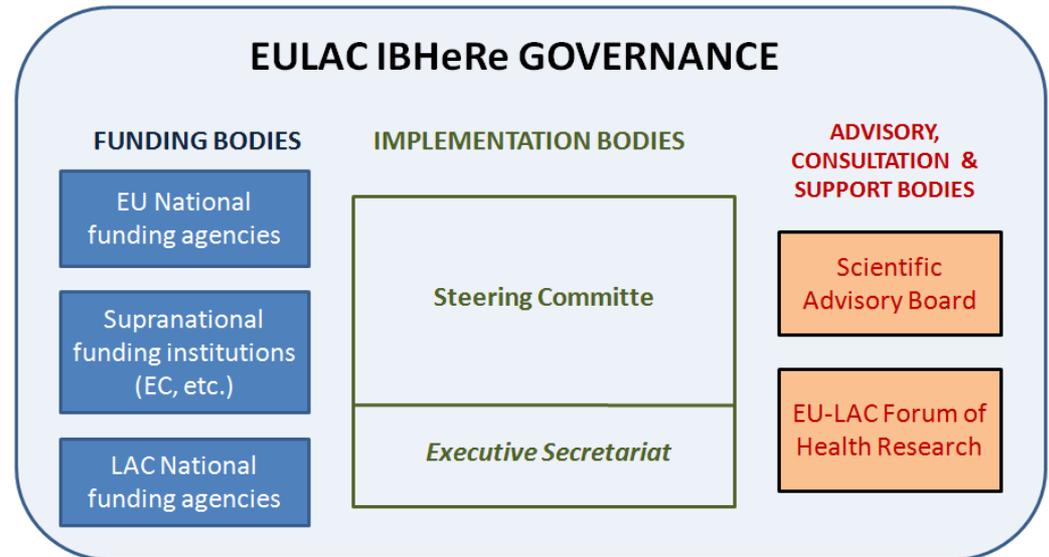
The legal structure and the functioning of the body will be decided by the promoter/participating EU LAC countries.

## MANAGEMENT

The **EULAC IBHeRe** will be responsible for the entire programme management (i.e. the implementation of the joint research programme).

### DECISION MAKING BODIES *(some ideas):*

- **Steering Committee:** It will be the highest decision-making body of EULAC IBHeRe. The Steering Committee will consist of representatives from the national funding institutions that are members of EULAC IBHeRe. The Steering Committee will meet 2-3 times a year and will decide on the **budget** and **strategic activities**.
- **Executive Secretariat:** The Executive Secretariat will conduct the day-to-day activities:
  - Main administrative, legal and financial centre of **EULAC IBHeRe**.
  - Launches Calls for Proposals.
  - Monitors and Evaluate (M&E) the supported research activities (projects, etc.).



# BUDGETARY ISSUES



## FUNDING SOURCES

- Existing bilateral research programmes undertaken jointly by EU and LAC countries.
- Existing EU Developmental Cooperation programmes with LAC countries, related to health research.
- EC participation from Horizon 2020 and other supranational Programmes.
- Contributions of EU and LAC countries in cash or in kind.

## ORIENTATIVE BUDGET SIZE

- 100 M€ per year (?).

# PREPARATION, SET-UP AND IMPLEMENTATION STEPS



## CRITERIA FOR THE NEW INITIATIVE

- **Relevance** to EU-LAC research collaboration.
- **Pre-existing basis** (*existing or envisaged research programmes or national/regional budgets that can be committed*) and **critical mass** (*size and the number of existing bilateral /supranational programmes*).
- **EU-LAC added value.**
- **Strong commitment** by countries/supranational institutions of both regions.

## FORMAL STEPS FOR THE SET-UP PROCESS

- Proposal from the Commission.
- Decision of the European Parliament and the Council.
- Decisions of the participant countries.
- *Etc., etc.*

## PREPARATORY & IMPLEMENTATION PHASES

- Common work-plan, sound governance structure, fixed national financial contributions, clear evaluation criteria and procedures, clear deliverables, solutions for the liability issue, etc.
- A stepwise approach (starting with a normal **ERA-NET** followed by an **ERANET+** Call) could be a possibility.
- Specific support actions as **EULAC-Health** project could be utilized for the preparation phase.

## IMPLEMENTATION PHASE

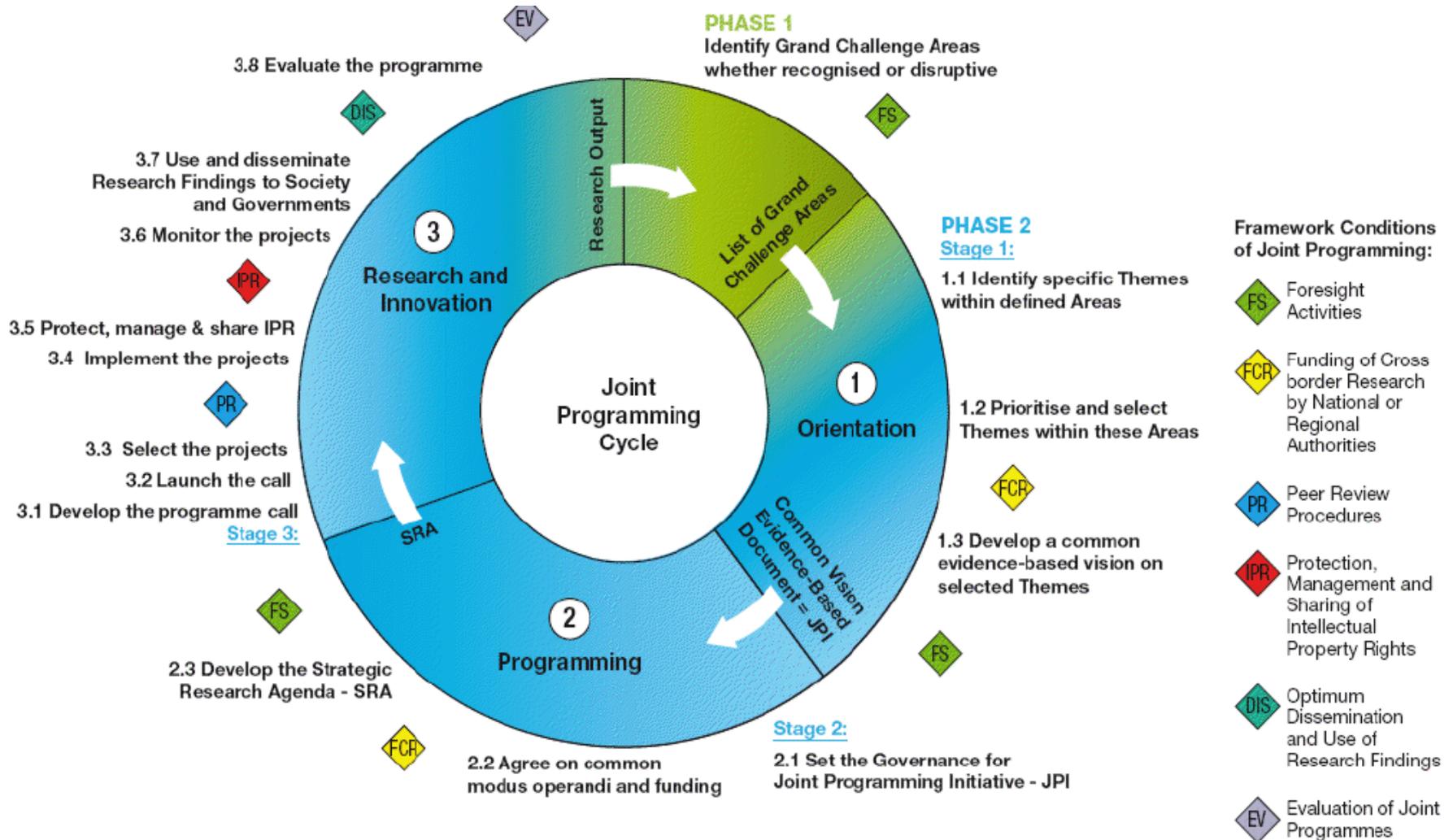
- **ERA-NETs** might be used for the preparation phase.
- **EC Article 185** Initiatives (ex Art. 169 of the EC Treaty, *see Annex 1*) are in principle designed for EU members only but they could be expanded to make room to other countries interested.

# CONTRIBUTION TO THE EU-LAC JOINT INITIATIVE FOR RESEARCH AND INNOVATION (JIRI) AND TO THE EU-LAC SENIOR OFFICIALS MEETING (SOM)



Scenario 3 represents a very ambitious and innovative scenario for EU-LAC health research collaboration within the JIRI global framework.

# PHASES FOR DEVELOPING JOINT PROGRAMMING INITIATIVES (JPIS)<sup>1</sup>



<sup>1</sup> EC (2010). Voluntary Guidelines for Framework Conditions on Joint Programming [http://ec.europa.eu/research/era/docs/en/voluntary\\_guidelines.pdf](http://ec.europa.eu/research/era/docs/en/voluntary_guidelines.pdf).

## Annex 1: ARTICLE 185 INITIATIVES (*ex Art. 169 of the EC Treaty*)



Article 185 of the EC Treaty states that *"in implementing the multi-annual framework programme, the Community may make provision, in agreement with the Member States concerned, for participation in research and development programmes undertaken by several Member States, including participation in the structures created for the execution of those programmes."*

In practical terms, measures under Article 185 foresee the participation of the EC in the joint implementation of (parts of) research and development national programmes. Implementing Article 185 in the FP7 implies that the participating EU Member States integrate (rather than simply coordinate) their research efforts by defining and committing themselves to a joint research programme, in which the EC promotes the voluntary integration of scientific, managerial and financial aspects. The Community provides financial support to the joint research programme, by cofunding the efforts from national/regional budgets/ programmes.

The basic criteria for the selection of proposals according to Article 185 are as follows:

- Involvement of a sufficient number of Member States to obtain a significant structuring effect and critical mass.
- Topic of great interest to the Community and fitting in with the thematic priorities of the Framework Programme.
- Principle of co-funding by the Member States and the Community, and principle of additionality are respected; significant European added value.
- Article 185 is the only way the project could be implemented.