



**EU-LAC HEALTH “2nd ROADMAPPING
WORKSHOP”
February 26-27, 2014 – Madrid, Spain**

THE STRATEGIC ACTION PLAN AND ROADMAP

Joaquín Guinea, INNOVATEC



THE STRATEGIC ACTION PLAN AND ROADMAP

EU-CELAC JOINT INITIATIVE ON HEALTH RESEARCH STRATEGIC ACTION PLAN



EU-CELAC JOINT INITIATIVE ON HEALTH RESEARCH STRATEGIC ACTION PLAN (DRAFT)

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DRAFT February 2014



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- **THE OBJECTIVE OF THIS DOCUMENT IS :**

TO PRESENT THE EU-CELAC JOINT INITIATIVE ON HEALTH RESEARCH (EU-CELAC JIHR) PROPOSAL , PREPARED BY THE EU-LAC HEALTH PROJECT , FOR ITS VALIDATION BY STAKEHOLDERS THROUGH A CONSULTATION PROCESS



THE STRATEGIC ACTION PLAN AND ROADMAP



1. BACKGROUND

- Long history in the partnership between the European Union (EU) and the Latin America and the Caribbean (LAC) countries
- Since the Rio de Janeiro Summit in 1999, the EU-LAC dialogue on Science and Technology (S&T) has been present and continuous efforts have been undertaken to define and implement joint S&T programmes
- During the Madrid Declaration (May 2010), the EU-LAC JOINT INITIATIVE FOR RESEARCH AND INNOVATION (JIRI) between the EU and the Community of Latin America and Caribbean States (CELAC) was approved
- In April 2013, the Senior Official Meeting (SOM) that prepares and implements this JIRI approved the creation of a Working Group on Health Research
- The EU-LAC Health project will provide technical background and concrete thematic proposals to this Working Group on Health Research



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2. CURRENT CONTEXT AND CHALLENGES AHEAD

- **Health research** stands as one of the major areas of R&D expenditure in both regions, all together represented around 40,000 million euros in 2010*:
 - 18% of the total Gross Domestic Expenditure on R&D (GERD) in EU-27
 - 9.5% of the total GERD in LAC
 - Around 30% of the total scientific publication in EU-27 and around 25% in LAC are in the health area
 - 85 bilateral S&T cooperation agreement and cooperation programmes which work as regular funding instruments aiming at supporting activities between the EU and LAC countries, 25 specifically address the Health area
 - The EU Seventh Framework Programme (FP7), has funded 37 health research projects with a total budget of around 150 million Euros, involving around 500 research groups of both regions in a variety of topics ranging from infectious diseases, cancer and chronic diseases to health systems or evaluation of health policies
- **The Joint Initiative for Research and Innovation (JIRI)** has changed the working scenario for policy decision making in research cooperation between EU and CELAC Regions:
 - The ALCUE-NET project
 - The ERANET-LAC

* EU-LAC HEALTH has received funding from the European Union's Seventh Framework Programme (FP7/2007-2013) under grant agreement n° 281459.
Röttingen J-A, Regmi S, Eide M, et al. (2013). Mapping of available health research and development data: what's there, what's missing, and what role is there for a global observatory? *Lancet*; published online May 20.

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3. VISION AND MISION

THE EU-CELAC JIHR *will build a sustainable collaborative health research effort between policy makers, researchers and stakeholders from Europe and Latin America and the Caribbean that results in better interventions to improve the health and economic and social wellbeing of citizens*



3. VISION AND MISSION

BENEFITS OF THE RESEARCH COORDINATION

- **Facilitate addressing common challenges together, developing common solutions and speaking with one voice**
- **Promote scientific excellence**
- **Reach expertise scattered across several countries**
- **Enable rapid dissemination of research results**
- **Increase the scientific, technological and innovative impacts**
- **Have a positive impact on both regions populations**



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3. VISION AND MISSION

EU-CELAC JOINT INITIATIVE ON HEALTH RESEARCH OBJECTIVES

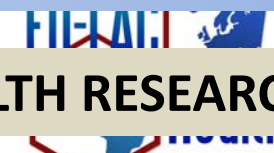
- To address common challenges, aligning research programmes and avoiding unwanted duplications
- To maximize synergies among national partners putting together expertise scattered across different countries
- To increase the scientific and societal impact of the research
- To increase the overall value for money of the public expenditures in health research

THE KEY PRINCIPLES OF THE EU-CELAC JOINT INITIATIVE ON HEALTH RESEARCH


- Jointly defined strategic research agenda tackling global challenges
- Improved integration of national/regional activities through existing or novel innovative funding schemes.
- Co-responsibility, co-ownership and inclusiveness
- Flexibility to allow reacting to the changing landscape
- Sound operational strategy
- Transparency, accountability and visibility of the initiative



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4. SWOT ANALYSIS OF THE EU-CELAC JOINT INITIATIVE ON HEALTH RESEARCH

STRENGTHS	WEAKNESSES
<ul style="list-style-type: none"> • Alignment with present political scenario of the EU CELAC S/T collaboration. • It is able to take advantage of existing health research resources in the countries as starting point. • Can avoid unwanted duplication of research. • Facilitates sustainability in science and technology cooperation on a long term basis. • Enable to attract external funds (ie. from developmental aid agencies for low income countries). • High visibility and high potential impact. 	<ul style="list-style-type: none"> • Requires difficult long-term political support. • Risk of creating ineffective bureaucracy. • Fragmentation of the EU and LAC research due to the variety of agencies and organizations supporting Health research in the LAC and EU regions. • “Size effect” and disparity between resources and capacities. • Different approaches to R&D priority setting and funding schemes. • Overcoming legal obstacles including IPR & technology transfer arrangements.
OPPORTUNITIES	THREATS
<ul style="list-style-type: none"> • Good instrument to implement the SOM Health research group recommendations. • Better opportunities in capacities, innovation and infrastructure collaboration. • Attractive for participation of external parties and funding. 	<ul style="list-style-type: none"> • Risk of failure due to geopolitics and other issues. • Discontinuation of commitment in national research planning&funding. • Difficult negotiation when differing national agendas. • Creation of other bi/multiregional Health research initiatives seeking similar objectives.
<ul style="list-style-type: none"> • Reference initiative for health research cooperation between Europe and LAC countries. 	<p>EU-LAC HEALTH has received funding from the European Union's Seventh Framework Programme (FP7/2007-2013) under grant agreement n° 281459.</p> 

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5. SCIENTIFIC RESEARCH AGENDA



- **RESEARCH PRIORITY SETTING FOR INTERNATIONAL COOPERATION BETWEEN EU AND LAC IN THE HEALTH AREA**

- **CORE RESEARCH THEMES INCLUDED IN THE SCIENTIFIC RESEARCH AGENDA**
 - **Health and social care services research**
 - **Infection**
 - **Neurological diseases and stroke**
 - **Chronic diseases**
 - **Prevention of diseases and promotion of well-being**
 - **Cancer**



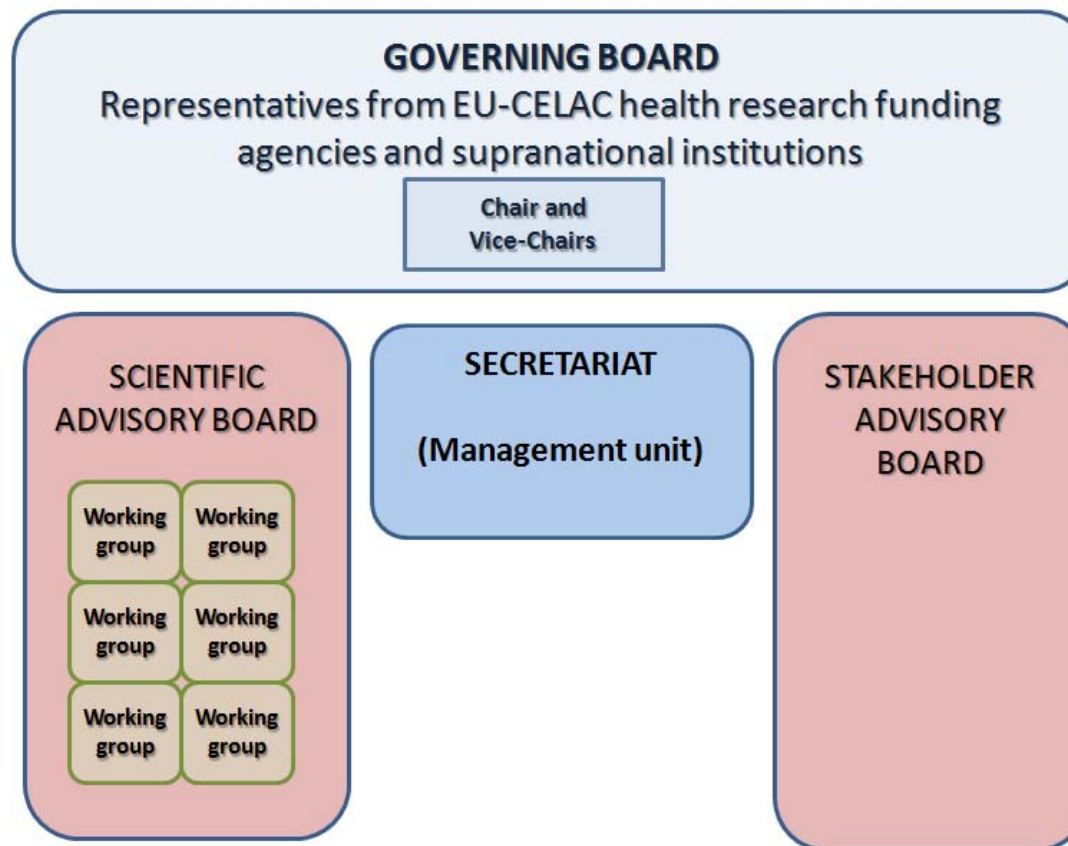
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6. EU- CELAC- JOINT INITIATIVE ON HEALTH RESEARCH GOVERNANCE

PROPOSED GOVERNANCE AND MANAGEMENT STRUCTURES FOR THE EU-CELAC- JOINT INITIATIVE ON HEALTH RESEARCH

EU- CELAC- JOINT INITIATIVE ON HEALTH RESEARCH



EU-LAC HEALTH has received under grant agreement n°



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7. PRELIMINARY STRATEGIC ROADMAP OF THE EU-CELAC JOINT INITIATIVE ON HEALTH RESEARCH (2014-2020) - 1

EU-CELAC JIHR CALENDAR	EU-LAC Health Project life **	ACTIVITIES			MILESTONES (expected by the end of each period)
		POLICY GOVERNANCE	SCIENTIFIC GUIDANCE	STAKEHOLDERS' INVOLVEMENT	
2014		<ul style="list-style-type: none"> Interact with the SOM health group to develop and discuss the EU-CELAC JIHR and its Strategic Action Plan Meeting with SOM group to present the Strategic Action Plan 	<ul style="list-style-type: none"> Draft Scientific Agenda. 	<ul style="list-style-type: none"> Strengthen coordination with related initiatives (H2020, PAHO, CYTED, Cooperation agencies, GACD, IRDiRC etc.) 	STRATEGIC ACTION PLAN (INCLUDING STRATEGIC RESEARCH AGENDA) VALIDATED BY SOM
1st. semester 2015		<ul style="list-style-type: none"> Establishment of an Interim Governance (Governing Board (IGB) and executive support secretariat (SEC) to implement the validated Strategic Action Plan Terms of reference of Scientific participation in the JIHR Terms of reference of Stakeholder participation in the JIHR 	<ul style="list-style-type: none"> Mapping of national programmes. Identification of potential Scientific advisors and Invitation to join the IGB 	<ul style="list-style-type: none"> Identification and Selection of Stakeholders 	INTERIM COORDINATING BODY FUNCTIONAL
2nd. semester 2015		<ul style="list-style-type: none"> First meeting of the IGB (approval of board composition, membership rules, agreement on objectives, funding, evaluation, communication, advocacy) 	<ul style="list-style-type: none"> Election of the Scientific Advisory Board (SAB) Strategic Research Agenda proposal 	<ul style="list-style-type: none"> Election of the Stakeholder's Advisory Board (SHAB) 	



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7. PRELIMINARY STRATEGIC ROADMAP OF THE EU-CELAC JOINT INITIATIVE ON HEALTH RESEARCH (2014-2020) - 2

2016		<p>Strategic research agenda, terms of reference for a Pilot EU-CELAC JIHR Action</p> <ul style="list-style-type: none"> • JIHR support secretariat established and operational. • Preparation of the sustainability Plan, including financial support for the secretariat. 		SHAB	GB, SAB, SHAB, SECRETARIAT.
2nd. semester 2016		<ul style="list-style-type: none"> • Dissemination and advocacy of the JIHR • Approv. of the sustainability Plan • Negotiation of a second stage of the EU-CELAC JIHR • Pilot EU-CELAC JIHR action launched 	<ul style="list-style-type: none"> • 1st scientific operational plan, including topics for pilot initiative 	<ul style="list-style-type: none"> • Definition of innovation facilitating plan 	<p>FIRST EU-CELAC JIHR ACTION LAUNCHED</p> <p>SUSTAINABILITY PLAN DEFINED</p>
2017		<ul style="list-style-type: none"> • Renewal and extension of the partnership. • Monitoring of EU-CELAC JIHR actions • Definition of the Terms of Reference of the Assessment of the first stage of the EU-CELAC JIHR. • Assessment of the first stage of the EU-CELAC JIHR. • 1st EU-CELAC Health Research and Policy Forum (GB, SAB, SHAB, participants, stakeholders). • Launch of the Second Stage of EU-CELAC JIHR 			<p>ASSESSMENT OF THE 1ST. STAGE OF THE EU-CELAC JIHR</p> <p>LAUNCH OF THE SECOND STAGE OF EU-CELAC JIHR</p>
2018-2020		<ul style="list-style-type: none"> • Evaluation of EU-CELAC JIHR actions • Use and dissemination of the research outputs • Evaluation of impact of the initiative • 2nd scientific operational plan • Update of Strategic Scientific Agenda • EU-CELAC Health Research and Policy Forum • Assessment of the Governance and Action Plan performance and future programming 			EVALUATION OF OUTCOMES AND IMPACT OF THE INITIATIVE





THANK YOU

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EU-LAC Health Partners



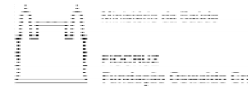
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